FOLEY LARDNER

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Examiner Ahshik Kim Group Art Unit 2876 U.S. Patent and Trademark Office	(703) 305-5203	(703) 746-4782
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From: William T. Ellis

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Date: June 20, 2003

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MESSAGE:

U.S. SERIAL NO. 09/815,580 FILED MARCH 23, 2002

Attached is a copy of the Transmittal, Appeal Brief, Information Disclosure Statement, SB08 and PTO-stamped postcard receipt which was filed on February 12, 2003.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George Harry Hoffman, et al.

Title: SYSTEM, METHOD AND

COMPUTER PROGRAM
PRODUCT FOR USE OF BAR
CODES IN A SUPPLY CHAIN
MANAGEMENT FRAMEWORK

Appl. No.: 09/815,580

Filing

03/23/2001

Date:

Examiner: Abshik Kim

Art Unit: 2876

TRANSMITTAL OF APPELLANT'S BRIEF AND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith are:

- [X] Appellant's Brief Under 37 C.F.R. § 1.192, and Appendix, in triplicate
- [X] Information Disclosure Statement, Form PTO/SB/08 and 3 references
- [X] Check for \$500, being fee for filing an Appeal Brief (\$320) and Submission of an Information Disclosure Statement (\$180)

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated,

otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 12, 2003

FOLEY & LARDNER

Customer Number: 22428

22428-

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5485 Facsimile: (202) 672-5399 William T. Ellis

Attorney for Applicant Registration No. 26,874

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George Harry Hoffman, et al.

Title: SYSTEM, METHOD AND

COMPUTER PROGRAM
PRODUCT FOR USE OF BAR
CODES IN A SUPPLY CHAIN
MANAGEMENT FRAMEWORK

Appl. No.: 09/815,580

Filing

03/23/2001

Date:

Examiner: Ahshik Kim

Art Unit: 2876

UNDER 37 CFR §1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP \$609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be

enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 12, 2003

FOLEY & LARDNER

Customer Number: 22428

ATENT TRADEMARK OFFICE

Telephone: (202) 672-5485 Facsimile: (202) 672-5399 William T. Ellis

Attorney for Applicant Registration No. 26,874

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO Complete If Known INFORMATION DISCLOSURE **Application Number** 09/815,580 STATEMENT BY APPLICANT Filing Date 03/23/2001 Date Submitted: February 11, 2003 First Named Inventor George Harry Hoffman Group Art Unit 2876 (Use as many sheets as necessary) Examiner Name Anshik Kim of 2 Attomey Docket Number 062834-0104

U.S. PATENT DOCUMENTS						
Examiner Initials*		U.S. Patent Document				Pages, Columns, Lines,
		Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	A1	6,055,519		Kennedy et al.	04-25-2000	
	A2	6,341,271	B1	Salvo et al.		
	ΕA	6,486,899	B1	Bush, Jr.	01-22-2002	
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initlals*	Cite No. ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	۲۵	

Examiner			
Signature		Date	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete into the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. Received from < 202 672 5185 > at 6/20/03 9:36:15 AM [Eastern Daylight Time] DRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). ²For Japansse patent documents, the indication of the year of the reign of the Emparor must proceed the serial number of the patent document. ³Kind of document by the appropriate symbots as indicated on the document under WIPO Standard ST. 18 if possible. ³Applicant is to place a check mark here in

Title: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR USE OF BAR CODES IN A SUPPLY CHAIN MANAGEMENT FRAMEWORK Inventor(s): George Harry Hoffman, et al. Dkt. No. 082834-0104
Appl. No.: 09/815,680 WTE (2/12/03)

Transmittal of Appellant's Brief and Information Disclosure Statemps.);

Appellant's Brief and Appendix (15 pgs.) – in triplicate

Information Disclosure Statement (3 pgs.);

Form PTO/SB/08 (1 pg.) and 3 References;

Check Number 26922 for \$500.

Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto.

Respectfully, Foley & Lardner